

Capital Investment Companies Expand Services Across the Country

Financial Advisors from Arizona, Georgia, Maryland and North Carolina Join the Firm

RALEIGH, N.C. (March 31, 2011) – [Capital Investment Companies](#), one of the largest independent financial services and brokerage firms in the Southeast, is continuing their expansion by adding nine financial professionals to the team. The advisors will work at locations ranging from Annapolis, MD to Scottsdale, AZ.

“Smart advisors are seeking to ‘Come Home to Capital’ because independent broker-dealers offer flexibility to put clients’ assets’ into any approved fund versus name brand, wirehouse firms that limit advisors to their financial products alone,” said Richard K. Bryant, president of Capital Investment Companies. “Capital Investment Companies offers a full palette of financial services for advisors to choose from including investments, insurance, estate planning and trust services. We simply offer advisors, and their clients, more choices of how to manage their money.”

Recently hired financial advisors include:

- [Michael York](#) – Mr. York is based in the Glenwood Avenue branch of Capital Bank. He has his Series 7 and 66 licenses as well as Life and Health, Medicare Supplement/LTC and Variable insurance licenses. He was most recently with Wells Fargo Advisors, LLC. Mr. York is registered with Capital Investment Group, Inc. and Capital Investment Advisory Services, LLC.
- [David Brock](#) -- Mr. Brock is with Harbor Investment Solutions and is based in Annapolis, Maryland. He has his Series 7, 24, 63 and 65 securities licenses as well as Health, Life and Variable insurance licenses. Mr. Brock was most recently with LifeMark Securities Corp. He is registered with Capital Investment Group, Inc.
- [Leah Garvey](#) – Ms. Garvey is with Harbor Investment Solutions and is based out of Annapolis, Maryland. She has her Series 62 and 63 securities licenses. Ms. Garvey was most recently with Stephens, Inc. She is registered with Capital Investment Group, Inc.
- [Robert Graham](#) – Mr. Graham is the OSJ Manager and President of RG Capital, LLC in Scottsdale, Arizona. He has his 7, 24, 63, and 66 licenses and is the general partner of RG Capital Insurance Group and owner of RG Capital Investment Advisory Services, LLC. Mr. Graham is registered with Capital Investment Group, Inc. Mr. Graham has brought with him two members of his team, including:
 - [Rich Oelze](#), OSJ Delegate and Wealth Management Advisor. Mr. Oelze is a 25-year veteran in the securities industry and holds his Series 4, 7, 12, 24, 27, 28, 31, 53, 63 and 66 securities licenses. Prior to joining RG Capital, he was a Phoenix metro branch manager for Piper Jaffray Companies.
 - [Kelly Richards](#), Wealth Management Coordinator, RG Capital, LLC. Mr. Richards has his 6 and 63 licenses and is Life and Health insurance licensed. He was previously with JP Morgan Chase & Co.

- [Hugh Prather](#) – Mr. Prather is based out of his own office in Charlotte, NC and will be affiliated with Capital Investment Group, Inc. and CIC Advisers, LLC. He has his Series 7, 63 and 66 securities licenses as well as long-term care and life and health insurance licenses. Mr. Prather was most recently with Charles Schwab & Co., Inc.
- [Douglas Gow](#), ChFC – Mr. Gow is based out of the Tarkenton Financial offices in Atlanta, GA and is registered with Capital Investment Group, Inc. and Capital Investment Advisory Services, LLC. He has his Series 7 securities licenses as well as Life, Accident and Variable insurance licenses. Mr. Gow was most recently with Equity Services, Inc.

About Capital Investment Companies

Capital Investment Companies is comprised of Capital Investment Brokerage, Inc. and Capital Investment Group, Inc., registered broker/dealers (Members FINRA/SIPC), and their affiliates. Capital Investment Companies (www.cico.us) is one of the largest independent financial services and brokerage organizations in the Southeastern United States, headquartered in Raleigh, N.C. The firm offers a wide variety of financial services including: traditional investment brokerage, money management, investment banking, trust services, no-load mutual fund management, mortgage services, estate planning, insurance services, retirement plans and a community foundation. They offer their services to individuals, corporations, and the CPA and banking community.

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