

PRIVACY NOTICE

Capital Investment Group, Inc., Capital Investment Brokerage, Inc.,
CIC Advisers, LLC and Capital Investment Counsel, Inc.

As a valued customer, the privacy of the information with which you provide us, to assist you in reaching your financial goals, is of utmost importance. Therefore, we offer the following Privacy Policy for Capital Investment Group, Inc., Capital Investment Brokerage, Inc., Capital Investment Counsel, Inc., CIC Advisers, LLC and their affiliates (collectively known as “Capital Investment Companies”). Capital Investment Group, Inc. and Capital Investment Brokerage, Inc., are “introducing” broker/dealers. Capital Investment Counsel, Inc. and CIC Advisers, LLC are registered investment advisory firms.

PLEASE NOTE: CAPITAL INVESTMENT GROUP, INC., CAPITAL INVESTMENT BROKERAGE, INC., CAPITAL INVESTMENT COUNSEL, INC., CIC ADVISERS, LLC AND THEIR AFFILIATES DO NOT SELL YOUR NON-PUBLIC PERSONAL INFORMATION.

We collect your non-public personal information from the following sources:

- Information we receive from you on applications and other forms;
- Information we receive from you in writing, in person, by telephone, electronically, or by any other means;
- Information about your transactions with Capital Investment Group, Inc. or Capital Investment Brokerage, Inc., our affiliates, financial institutions or others, and;
- Information we receive from the Internal Revenue Service, consumer-reporting agency, or other such entity.

We disclose your non-public personal information to affiliates and non-affiliated third parties:

Capital Investment Group, Inc., Capital Investment Brokerage, Inc., Capital Investment Counsel, Inc., and CIC Advisers disclose to affiliates and non-affiliated third parties, non-public personal information only in connection with our servicing of, or conducting business on behalf of, your account. Such disclosures may be provided to affiliates and non-affiliated third parties (i) as required or necessary to carry out fully and properly the securities business conducted by Capital Investment Group, Inc., Capital Investment Brokerage, Inc., Capital Investment Counsel, Inc., and CIC Advisers, LLC;(ii) as required by law or legal process, or; (iii) with your consent.

We may disclose all of the information we collect, as described above.

Former Customers:

We do not disclose any non-public personal information about our customers or former customers to anyone, except as permitted by law or legal process, or with your consent.

Confidentiality and security of non-public personal information:

We restrict access to non-public personal information about you to those employees that need to know that information to provide products or services to you. We maintain physical, electronic and procedural safeguards to guard your non-public personal information.

Capital Investment Group, Inc., Capital Investment Brokerage, Inc., Capital Investment Counsel, Inc., and CIC Advisers, LLC reserve the right to change this Privacy Policy at any time.