



Capital Investment Companies

Focus shines on employees and clients

For more than three decades, Capital Investment Companies has grown to be one of the largest independent financial services and brokerage firms in the Southeast. It strives to maintain only the highest degree of service and support to its customers and remains committed to its corporate goal — to not be the biggest, just the best. The company's **Ensemble Platform** offers diverse financial and investment services, allowing the firm to grow as its clients' needs grow.

Capital Investment Companies remains rooted in its Southern heritage and the belief that integrity, independence and innovation are the means to retaining customer loyalty and achieving corporate success. The employees think it is the way business should be done, and will continue to be done.

The Employees

Capital Investment Companies has proudly served the Carolinas and beyond for 32 years. Headquartered in Raleigh, Capital strives to hire employees from local universities and colleges — N.C. State, Carolina, Duke and Meredith just to name a few. Working closely with local higher education connections enables Capital to have strong and loyal ties to the community.

The company encourages its employees to spend time together outside of the office with scheduled corporate events, most recently, retreats to Myrtle Beach and Charleston SC. The employees support several charities throughout the year including Toys for Tots, the American Red Cross, NC Food Bank and the Pancreatic Cancer Action Network. Capital emboldens an environment of inclusion and fun.

Sue Ellen Harrington, a 25-year employee, states, "Our office has an excellent support environment that is both relaxed and professional". Employees agree that one of the



Capital Investment Companies believes integrity, independence and innovation breed loyal customers and corporate success.

bonuses of working for a close-knit organization is the ability to have a flexible schedule. Because of the cross-training employees receive, they are able to schedule personal appointments during work hours, attend their children's school functions and have the opportunity to occasionally telecommute.



Capital is fortunate to retain loyal employees for many years. The average tenure for employees is 11 years. Many of the employees have been with the firm for more than 15 years creating a family-style environment. "We have a remarkable work atmosphere where employees and management care about each other. Our team is close and we work well together to make Capital Investment Companies

a stand out in the field of financial service," commented Keri Eddins, an 18-year employee. Maintaining corporate culture is paramount to the company's success.

The Mission

Capital Investment Companies remains committed to satisfying the personal and corporate financial needs of their clients. Their mission is to provide the highest level of financial advice through understanding individual needs and utilizing leading edge technology so that their clients and employees recognize each day that partnering with Capital was the right choice.

It is a commitment to personal care that has earned the company the privilege of working with thousands of investors who have entrusted their financial futures to Capital Investment Companies.

Securities offered through Capital Investment Group, Inc. and Capital Investment Brokerage, Inc., Members FINRA/SIPC